

## RF™ FAQs

### *Curriculum Questions*

What specifically are the topics covered in the core curriculum?

The core curriculum consists of 8 modules:

Module 1 – Introduction to 2010 Fiduciary Standard

Module 2 – Introduction to a Fiduciary Ethos

Module 3 – Step 1: Analyze

Module 4 – Step 2: Strategize

Module 5 – Step 3: Formalize

Module 6 – Step 4: Implement

Module 7 – Step 5: Monitor

Module 8 – Assessment Procedures

### *Exam Questions*

What are the topics covered in the standardized exam given to designees?

The exam is taken from the core curriculum and the specialty (such as 401(k)) for which the candidate is applying.

### *Continuing Education Questions*

Can you give us a breakdown off what topics are required and/or covered in the CE courses?

Continuing education requirements are based on regulatory and industry developments. Regulatory developments include actions by Congress, the SEC, DoL, IRS as well as State regulators. Industry developments include desirable new practices that comport with a fiduciary standard of care as well as practices to be avoided.

How many hours of CE is required? For example, is it 15 hours every two years? I know it may depend on different variables, but can you provide us with any details?

While this will vary, RF™ designees should be prepared for 5 to 10 hours of CE work each year.