



RF™ Support

Promotion of RF™ Certification

Both DALBAR and the Fiduciary Standards Board actively promote the RF™ Certification through their websites, public relations efforts, public service announcements (“PSA”) and brochures. The promotion is intended to:

- Familiarize the public with the RF™ certification
- Communicate the value of working with a Registered Fiduciary
- Present the superior qualities of the RF™ certification

As a result of this promotion and the quality of RF™ designees the RF™ brand will be recognized and respected by both institutional and retail clients as a mark of excellence.

Public Access

DALBAR promotes RF™ licensees and designees on its Website, www.FiduciaryRegistry.com. All active licensees and designees are available through a search engine that contains access limits to avoid abuse by members of the public.

Public access is by lines of business and geographic area. Licensees and designees may elect to be excluded from the public access, if desired.

Technology Tools

RF™ qualified tool providers offer a range of online tools that facilitates the management of the adviser’s practice. These include tools used for:

- Prospecting
- Client and plan management
- Investment policy statements
- Due diligence screens for investment options (Vendor search)
- Quarterly investment reporting
- Administrative functions
- Investment model management



RF™ Legal Assistance

The Wagner Law Group, Marcia Wagner, managing partner, has been selected by RF™ to provide specialized legal services to RF™ candidates and designees. The firm's practice covers many different types of employee benefit plans, both qualified and non-qualified, and includes advice and client counseling in the following areas:

- ✓ Retirement Plans
- ✓ Non-Qualified Plans and Executive Compensation
- ✓ Plan Administration
- ✓ Welfare Benefit Plans
- ✓ Plan Testing
- ✓ Plan Compliance
- ✓ Income and Pension Excise Taxes
- ✓ Other Plan Issues
- ✓ ERISA Title I
- ✓ Employment Taxes
- ✓ Administrative Representation

RF™ Advisory Board

RF™ activities are overseen by an advisory board consisting of leaders in a variety of roles. These roles include professionals immersed in the fiduciary subject from the perspectives of:

- Academics
- Attorneys
- Plan sponsors
- Regulatory compliance
- RF™ certificate-holders

RF™ Referral Program

Certified Registered Fiduciaries may waive a renewal fee by referring one or more new applicants. Upon successful completion of the RF™ certification the referrer will receive a notification email upon their expiration date alerting them of the waived fee.